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*PTA*

*SECTION*

PATENT  
Customer No. 22,852  
Attorney Docket No. 7895.0027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Douglas K. WYATT et al. )  
Serial No.: 09/893,749 )  
Filed: June 29, 2001 ) Group Art Unit: 2176  
For: METHODS AND SYSTEMS FOR )  
ADAPTATION, DIAGNOSIS, )  
OPTIMIZATION, AND )  
PRESCRIPTION TECHNOLOGY )  
FOR NETWORK-BASED )  
APPLICATIONS ) Examiner: Unknown

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of August 23, 2001, Applicants submit a Declaration and Power of Attorney for filing in this application, the required fee of \$992.00 (\$710.00 - filing fee, \$152.00 - extra claims fee, and \$130.00 - late filing fee), and a copy of the Notice of Missing Parts.

Also enclosed is an executed Assignment, a Recordation Form Cover Sheet, and an assignment fee of \$40.00.

Please associate the enclosed declaration and assignment with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 20, 2001

By:   
Donald D. Min  
Reg. No. 47,796

LAW OFFICES

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UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 20 2001

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	RECEIPT/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,749	06/29/2001	Douglas K. Wyatt	7895.0027

## CONFIRMATION NO. 3336

## FORMALITIES LETTER



\*OC00000006464768\*

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/21/2001 SDIRETA1 00000042 09893749

## FILED UNDER 37 CFR 1.53(b)

01 FC:101	710.00 OP
02 FC:103	72.00 OP
03 FC:102	80.00 OP
04 FC:105	130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$152.
  - \$72 for 4 total claims over 20.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 992.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE